

Serial No.: 09/378,669

PATENT  
RCA 89,417

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shu Lin, et al.

Serial No. : 09/378,669

Filed : August 20, 1999

For : A DIGITAL VIDEO PROCESSING AND STORAGE SYSTEM  
FOR VIDEO, AUDIO AND ANCILLARY

Art Unit : 2712



Group 2700

APR 10 2000

RECEIVED

INFORMATION DISCLOSURE STATEMENT

- [X] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- [ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] 8 The required certification made in item 11 below; or
- [ ] 9 The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ] 10 37 CFR §1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by

37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch D67, Date of Allowance: 08/30/96"), and

(b) The required Certification is stated in item 11 below.

[ ] 11 Certification

[ ] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[ ] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
SHU LIN, et al.

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March 31, 2000

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: 31 March 2000 Signature Alexander Burke  
Form GE-97/98-1  
(1/99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. <b>RCA 89,417</b>		SERIAL NO. <b>09/378,669</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b> <b>UNDER 37 CFR 1.97</b> (Use several sheets if necessary)				APPLICANTS <b>Shu Lin, et al.</b>		FILING DATE <b>8-20-99</b>	
				GROUP <b>27</b>		RECEIVED <b>APR 10 2000</b> <b>Group 2700</b>	
U.S. PATENT DOCUMENTS							
EXAMINE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
<i>DC</i>	AA	5,502,494	3/26/96	Auld	348	426	
<i>PC</i>	AB	5,960,006	9/28/99	Maturi, et al.	370	509	
<i>PC</i>	AC	5,559,999	9/24/96	Maturi, et al.	395	550	
<i>PC</i>	AD	5,835,636	11/10/98	Auld	382	233	
<i>PL</i>	AE	5,818,533	10/6/98	Auld, et al.	348	412	
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No	
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
EXAMINER <i>Robert Lin</i>				DATE CONSIDERED <i>12/10/02</i>			
SUBMITTED BY: <i>Alexander J. Burke</i> Alexander J. Burke				REG. NO.: 40,425		DATE: March 31, 2000	